

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE FORM PTO-1390 (REV 10-94)		ATTORNEY'S DOCKET NUMBER 9680.257USWO
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5) Unknown 10/510407
INTERNATIONAL APPLICATION NO. PCT/CA03/00487.	INTERNATIONAL FILING DATE 3 April 2003	PRIORITY DATE CLAIMED 5 April 2002
TITLE OF INVENTION FUNCTIONALIZED POLYMERS AND THEIR BIOMEDICAL AND PHARMACEUTICAL USES		
APPLICANT(S) FOR DO/EO/US HILDGEN, et al.		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<p>1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.</p> <p>2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.</p> <p>3. <input checked="" type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).</p> <p>4. <input checked="" type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.</p> <p>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. <input checked="" type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau). b. <input checked="" type="checkbox"/> has been transmitted by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US)</p> <p>6. <input type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)).</p> <p>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau). b. <input type="checkbox"/> have been transmitted by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input checked="" type="checkbox"/> have not been made and will not be made.</p> <p>8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>9. <input checked="" type="checkbox"/> An unsigned oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p>		
Items 11. to 16. below concern document(s) or information included:		
<p>11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98, Form 1449, 5 references.</p> <p>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p>13. <input checked="" type="checkbox"/> A FIRST preliminary amendment with a 4 page Appendix. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment.</p> <p>14. <input type="checkbox"/> A substitute specification.</p> <p>15. <input type="checkbox"/> A change of power of attorney and/or address letter.</p> <p>16. <input checked="" type="checkbox"/> Other items or information: Application Data Sheet, International Publication Page, Form PCT/ISA/210, Form PCT/RO/113, Form PCT/IB/301, November 3, 2003 Article 34 Amendments, Form PCT/IPEA/408, March 31, 2004 Response to Written Opinion, Form PCT/IPEA/409</p>		

U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5) Unknown 10/510407		INTERNATIONAL APPLICATION NO. PCT/CA03/0487	ATTORNEY'S DOCKET NUMBER 9680.257USWO
17. [X] The following fees are submitted:		CALCULATIONS PTO USE ONLY	
BASIC NATIONAL FEE (37 CFR 1.492(a) (1)-(5)): Search Report has been prepared by the EPO or JPO.....		\$950.00	
International preliminary examination fee paid to USPTO (37 CFR 1.492(a)(1))		\$750.00	
No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2))		\$790.00	
Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(3)) paid to USPTO.....		\$1100.00	
International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4).....		\$100.00	
ENTER APPROPRIATE BASIC FEE AMOUNT =		\$950.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than [] 20 [] 30 months from the earliest claimed priority date (37 CFR 1.492(e)).		\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE
Total claims	17	-20 = 0	X \$18.00 \$0.00
Independent claims	1	-3 = 0	X \$88.00 \$0.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)		+ \$300.00	\$
TOTAL OF ABOVE CALCULATIONS =		\$950.00	
Reduction by 1/2 for filing by small entity, if applicable. Small entity status is claimed pursuant to 37 CFR 1.27		\$475.00	
SUBTOTAL =		\$475.00	
Processing fee of \$130.00 for furnishing the English translation later than [] 20 [] 30 months from the earliest claimed priority date (37 CFR 1.492(f)).		+	\$
TOTAL NATIONAL FEE =		\$475.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property		+	\$
TOTAL FEES ENCLOSED =		\$475.00	
		Amount to be: refunded	\$
		charged	\$
<p>a. [X] Check(s) in the amount of <u>\$475</u> to cover the above fees is enclosed.</p> <p>b. [] Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. [X] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>13-2725</u>.</p>			
<p>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</p>			
SEND ALL CORRESPONDENCE TO: Gregory A. Sebald MERCHANT & GOULD P.O. Box 2903 Minneapolis, MN 55402-0903		SIGNATURE:  NAME: Gregory A. Sebald REGISTRATION NUMBER: 33,280	

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

10/510407

Applicant: HOLDGEN, et al.
 Docket: 9680.257USWO
 Title: FUNCTIONALIZED POLYMERS AND THEIR BIOMEDICAL AND PHARMACEUTICAL USES

CERTIFICATE UNDER 37 CFR 1.10

'Express Mail' mailing label number: EV 408490500 US

Date of Deposit: October 5, 2004

I hereby certify that this paper or fee is being deposited with the United States Postal Service 'Express Mail Post Office To Addressee' service under 37 CFR 1.10 and is addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.


 By:
 Name: David Ortiz

Commissioner for Patents
 P.O. Box 1450
 Alexandria, VA 22313-1450

Sir:

We are transmitting herewith the attached:

- Transmittal sheet, in duplicate, containing Certificate under 37 CFR 1.10.
- National Stage PCT Patent Application: Spec. 15 pgs; 16 claims.
The fee has been calculated as shown below in the 'Claims as Filed' table.
- 5 sheets of formal drawings
- Small entity status is claimed pursuant to 37 CFR 1.27
- An unsigned Combined Declaration and Power of Attorney
- A check in the amount of \$475.00 to cover the Filing Fee
- Information Disclosure Statement, Form 1449, 5 reference(s).
- Application Data Sheet, 4 pages.
- Other: Form PTO-1390, Preliminary Amendment with a 4 page appendix, International Publication Page, Form PCT/ISA/210, Form PCT/RO/113, Form PCT/IB/301, November 3, 2003 Article 34 Amendments, Form PCT/IPEA/408, March 31, 2004 Response to Written Opinion, Form PCT/IPEA/409
- Return postcard

CLAIMS AS FILED

Number of Claims Filed	In Excess of:	Number Extra	Rate	Fee
Basic Filing Fee				\$475.00
Total Claims				
17	-	20	= 0 x 18.00 =	\$0.00
Independent Claims				
1	-	3	= 0 x 88.00 =	\$0.00
MULTIPLE DEPENDENT CLAIM FEE				\$0.00
TOTAL FILING FEE				\$475.00

Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725. A duplicate of this sheet is enclosed.

MERCHANT & GOULD P.C.
 P.O. Box 2903, Minneapolis, MN 55402-0903
 (612) 332-5300

By: 
 Name: Gregory A. Sebald
 Reg. No.: 33,280
 Initials: GAS/pjk

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PATENT TRADEMARK OFFICE